

IBKR REST WebAPI Onboarding Questionnaire

Please answer the following questions and submit this file as a part of your REST WebAPI application:

1. Entity name:
2. Trade name (if different from entity name):
3. Website URL:
4. Securities and/or Commodities Registrations (include Country, Regulator, Name and Type of Registrations):
5. Physical location of headquarters:
6. Location where technology is located (e.g., servers):
7. Name of Principal(s) of the firm:
8. Name and contact information for Compliance Officer (if any):
9. What is the firm's business model and how will the REST WebAPI be used?
10. In what countries does the firm have/intend to have clients?
11. In what categories of products (stocks, options, futures, etc.) does the firm trade?

12. In which countries are those products registered/traded/located?

13. How are orders routed from the client to IBKR (i.e. who touches the orders)?

14. Who is compensated on orders transmitted by the firm for end clients and how is that compensation paid?

15. Which other brokerage firms is the firm connected to?